

:90&&' \$GPLQLVWUDWLYH 3URFHGXUH \$3

%XVLQHVVVDFQG \$UIDLUV

\$3),6&\$/ 0\$1\$*(0(17

5HIHUUHQFHV

(GXFDWLRQ &RGH 6HFWLRQ F
7LWOH 6HFWLRQ
\$&&-& \$FFUHGLWDWLRQ 6WDQGDUG , , , '

'LVWULFW DGPLQLVWUDWRUV DUH SUQRD'LVOMUUFWSRQWRK
HVWDEOLVKLQJ DQG PDLQWDLQLQJ VRXQGHEXHUQDQG BRQ
SRWHQWLDO PLVXVH RI UHVRXUFHV V)LXWWKPSORAKHHVL DQG
SHUVRQV WR GLVFORVH LPSURSHU DFWLQJWRHVPDVRSHU
*RYHUQPHQWDO \$FWLYLWLHV \$FW SHU WKW WRKR-UHQPHS
LPSURSHU DFWLYLWLHV IURP UHSULVDO RU LQWLPLGDWLRQ

OLVXVH LV WKH LQDSSURSULDWH XVLW WU'LLFW SXEOLSRUHM
LQFOXGH EXW DUH QRW OLPLWHG WRLFDGXHSWRSWUW'L
HPSOR\HHV &ULWHULD XVHG WR GHWHUPLQHPSORHMKHEW
FRQVWLWXWHV PLVXVH RI UHVRXUFHV DQGOXGWV LFWDSRHO
SURFHGXUHV

,QYHVWLJDWLRQV RI VXVSHFWHG PLVXVH WLDLWHUJLRW
UHDVRQV LQFOXGLQJ EXW QRW OLPLWHG WRLUFXPHWRO
DOOHJDWLRQV PDGH E\ HPSOR\HHV RUDWHRQWUGLRFORVHGX
WKH FRXUVH RI URXWLQH DXGLWV

6XFK LQYHVWLJDWLRQV VKDOO EH FRQGXFWHG LQ D PDQQH

x)XOILQOV WKH 'LVWULFWV OHJDO DQG ILGXFLDU\ UHVS

x 0LQLPLJHV ORVV DQG SURPRWHV UHFRYHU\ RI 'LVWULFW

x ,GHQWLILHV FRQWUROV ZKLFK VKRXOGHEH XWUHQDJEWLO
UHJDUGLQJ PLVXVH RI 'LVWULFW UHVRXUFHV

x 3URWHFWV WKH ULJKWV RI WKH DFFQVHGGOMKELQVWU
WKH SXEOLF LQWHUHV

x 3URYLGHV FRQILGHQWLDOLW\ FRPSDWDEGHDSISWKFDQO
UHSRUWLQJ UHTXLUHPHQWV DQG

x

&KHFNV UHFHLYHG DW WKH FROOHJHV RYH'U'VWULFWU)HQIDR
'HSDUWPHQW WR EH UHFRUGHG SULRUDWR &RHSRWLWUQIDVL
\$FFRXQW 'LVWULFW)LQDQFH VWDIIRIRORQFLRQHWKOKHE'DVLE

&KHFNV UHFHLYHG DW WKH FROOHJH HSKDWLVDHGH LOHVMKMW&D
\$FFRXQW WKURXJK \$ 5 &DVKLVHUV 2IILFH

7KH VDPH SURFHGXUHV DUH UHTXLUHG WLVRODQVLWBG LRAQLFR
JURXQGV ZKLFK LQFOXGH &RPPXQLW\ (GQVFWQBWL&RQWGD
VHOHFWHG *UDQW DQG &KLOG 'HYHORSPHQW &HQWHUV

3HWW\ &DVK UHTXHVWV PXVW EH VXE PL R I W M I G H Z W W D Q Q D F V D

3HWW\ &DVK UHTXHVWV ZLOO QRW EHI S B B FIR V V P I G H I R U Q U M L F

'LVWULFW)LQDQFH D Q G G O D X G G W F R M B J H W W \$ & P L V K L V W X U D W R U V
KHOG DFFRXQWDEOH IRU LPSURSULHS S D L W P L G H Q W L I L H G E \ V

5HYROYLQJ)XQG

7KH 'LVWULFW PDLQWDLQV D UHYROYLQJ G F D V K X M H G G I R U

HPHUJHQFLHV RFFXUULQJ DW HLWKH B D F Q R O W J D I R W I B V W D U D

'LVWULFW ZDUUDQW &KHFNV ZULWWHQHI D S S U M R K H I G 5 H E X R V M

&KDQFHOURU 9LFH &KDQFHOURU RU GHVLJQHH

'DWH \$SSURYHG 0DUFK